

Prison Rape Elimination Act (PREA) Audit Report Adult Prisons & Jails

Interim Final

Date of Report: 1/31/2020

Auditor Information

Name: David Andraska	Email: ddafalls@hotmail.com
Company Name: Andraska Consulting, LLC	
Mailing Address: P.O. Box 191	City, State, Zip: Melrose, WI 54642-01915
Telephone: 715-896-2648	Date of Facility Visit: June 3-4, 2019

Agency Information

Name of Agency: Alaska Department of Corrections	Governing Authority or Parent Agency (If Applicable): State of Alaska		
Physical Address: 550 West 7th Avenue, Suite 1800 Anchorage, Alaska 99501-3570	City, State, Zip:		
Mailing Address: P.O. Box 112000 Juneau, Alaska 99811-2000	City, State, Zip:		
Telephone: 907-334-2381	Is Agency accredited by any organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
The Agency Is:	<input type="checkbox"/> Military	<input type="checkbox"/> Private for Profit	<input type="checkbox"/> Private not for Profit
<input type="checkbox"/> Municipal	<input type="checkbox"/> County	<input checked="" type="checkbox"/> State	<input type="checkbox"/> Federal

Agency mission: The Alaska Department of Corrections provides secure confinement, reformative programs, and a process of supervised community reintegration to enhance the safety of our communities. We are trained professionals committed to a safe, open and respectful organization. We are dedicated to public safety and will always respect the rights and dignity of victims of crime. Offenders in our charge will be treated in a safe and humane manner, and will be expected to enhance their ability to reform every day.

Agency Website with PREA Information: <http://www.correct.state.ak.us/prison-rape-elimination-act>

Agency Chief Executive Officer

Name: Nancy Dahlstrom	Title: Commissioner
Email: nancy.dahlstrom@alaska.gov	Telephone: 907-761-7393

Agency-Wide PREA Coordinator

Name: Johnnie Wallace	Title: Criminal Justice Planner/PREA Coordinator
Email: johnnie.wallace@alaska.gov	Telephone: 907-761-5623
PREA Coordinator Reports to: Deputy Director of Institutions	Number of Compliance Managers who report to the PREA Coordinator 15

Facility Information

Name of Facility: Mat-Su Pre-Trial Facility			
Physical Address: 339 East Dogwood Avenue, Palmer, Alaska 99645			
Mailing Address (if different than above):			
Telephone Number: 907-745-0943			
The Facility Is:	<input type="checkbox"/> Military	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Private not for profit
<input type="checkbox"/> Municipal	<input type="checkbox"/> County	<input checked="" type="checkbox"/> State	<input type="checkbox"/> Federal
Facility Type:	<input checked="" type="checkbox"/> Jail	<input checked="" type="checkbox"/> Prison	
Facility Mission: The mission of the Division of Institutions is to promote public safety. Our division provides secure confinement, access to reformative programs and offender management planning that promotes successful community reentry.			
Facility Website with PREA Information: http://www.correct.state.ak.us/prison-rape-elimination-act			

Warden/Superintendent

Name: Sheri Olsen	Title: Superintendent II
Email: sheri.olson@alaska.gov	Telephone: 907-745-0943 Ext 102

Facility PREA Compliance Manager

Name: Francis Buzby	Title: Correctional Officer IV/PREA Manager
Email: francis.buzby@alaska.gov	Telephone: 907-745-0943 Ext 113

Facility Health Service Administrator

Name: Madrian Shirley	Title: Nurse IV
Email: madrian.shirley@alaska.gov	Telephone: 907-269-7308

Facility Characteristics

Designated Facility Capacity: 98		Current Population of Facility: 86	
Number of inmates admitted to facility during the past 12 months			3401
Number of inmates admitted to facility during the past 12 months whose length of stay in the facility was for 30 days or more:			179
Number of inmates admitted to facility during the past 12 months whose length of stay in the facility was for 72 hours or more:			1386
Number of inmates on date of audit who were admitted to facility prior to August 20, 2012:			0
Age Range of Population:	Youthful Inmates Under 18: 17	Adults: 19-63	
Are youthful inmates housed separately from the adult population?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No <input type="checkbox"/> NA
Number of youthful inmates housed at this facility during the past 12 months:			4
Average length of stay or time under supervision:			10.4 days
Facility security level/inmate custody levels:			Minimum to Close custody
Number of staff currently employed by the facility who may have contact with inmates:			53
Number of staff hired by the facility during the past 12 months who may have contact with inmates:			0
Number of contracts in the past 12 months for services with contractors who may have contact with inmates:			149

Physical Plant

Number of Buildings: 2		Number of Single Cell Housing Units: 3	
Number of Multiple Occupancy Cell Housing Units:		4	
Number of Open Bay/Dorm Housing Units:		2	
Number of Segregation Cells (Administrative and Disciplinary):		8	
Description of any video or electronic monitoring technology (including any relevant information about where cameras are placed, where the control room is, retention of video, etc.): Mat-Su Pre-Trial Facility employs a video camera and monitoring system for video surveillance. Approximately 65 cameras are placed strategically throughout the facility to ensure the safety and security of both inmates and staff.			

Medical

Type of Medical Facility:	22 hours nursing clinic
Forensic sexual assault medical exams are conducted at:	Mat-Su Regional Hospital

Other

Number of volunteers and individual contractors, who may have contact with inmates, currently authorized to enter the facility:	149
Number of investigators the agency currently employs to investigate allegations of sexual abuse:	1

Audit Findings

Audit Narrative

The Prison Rape Elimination Act (PREA) Audit for the Mat-Su Pre-Trial Facility an Alaska Department of Corrections (AKDOC) facility was conducted on June 3-4, 2019. This was the first Department of Justice (DOJ) PREA audit for this facility. A line of communication was developed between the AKDOC PREA Coordinator, Facility PREA Compliance Manager (PCM) and the auditor to discuss the posting of audit notice, Pre-Audit Questionnaire (PAQ), compliance issues and logistics.

The auditor's pre-audit preparations consisted of a thorough review of all documentation and materials submitted by the facility including the Pre-Audit Questionnaire (PAQ). The documentation reviewed by the auditor included policies, procedures, forms, posters, pamphlets, brochures, handbooks, educational materials, training curriculums, organizational charts, and other PREA related materials the facility provided to demonstrate compliance with each PREA standard. The auditor contacted Just Detention International (JDI) in reference to any information previously submitted by inmates and also reviewed the AKDOC website.

The audit began on Monday morning June 3, 2019 with an entrance meeting with the, Superintendent, Lieutenant, PCM, Training Sergeant, AKDOC PREA Coordinator and the auditor to discuss the audit process and finalize the facility interview schedule. The site visit consisted of conducting a full tour of the facility, a thorough review of documentation, and formal and informal interviews with staff and inmates. Areas visited during the tour included the administrative area, all inmate housing areas (including segregated housing), booking, food service, laundry, recreation, medical, visiting room, maintenance, library, and the control center. During the tour, the auditor reviewed staffing; logs; physical plant; sight lines; camera coverage; and tested the inmate phone system for reporting PREA allegations. PREA posters and notification of the PREA audit was observed posted throughout all areas accessible to residents. The notification of the PREA audit visit was documented as posted on April 25, 2019. A photograph of the posted notice was provided to the auditor.

The Mat-Su Pre-Trial Facility has 53 staff that may have contact with Inmates. The security staff is assigned to two 12 hours shifts. The auditor conducted interviews with security staff working on both shifts. A total of 21 staff were interviewed. This included ten random staff and eleven facility and agency specialized staff. One investigator with the Alaska State Troopers was interviewed. One contractor and one volunteer were also interviewed.

On the first day of the audit there were 86 inmates (9 females and 77 males). Eighteen inmates (5 female & 13 males) were interviewed. There were seven inmates interviewed from the target group which included; one inmate that had reported sexual abuse, five inmates that reported sexual victimization during risk screening and one inmate who identified as LGB. There were no other inmates in target groups at the facility. No inmates contacted the auditor

prior to the audit. Overall inmates interviewed stated they felt safe and demonstrated an understanding of PREA and reporting options.

There were four allegations of sexual abuse/harassment reported by inmates in the past 12 months. There were three allegations of inmate on inmate sexual abuse. Two of the allegations reported took place at other AKDOC facilities. Of these two cases one was found to be unsubstantiated and the one is still and being investigated. The allegation that took place at the facility was found to be unsubstantiated. There was one staff on inmate sexual harassment allegation that was substantiated.

The auditor examined personnel files and criminal background files that are maintained at the facility. New hires, volunteers and contractors are not allowed entrance into the facility until a thorough background check is completed. Training records were reviewed and included written documentation that staff, contractors and volunteers received the required PREA training. The auditors viewed the signed "Training Acknowledgement Form" documenting that staff, contractors and volunteers understood the PREA training received. The auditor reviewed a random sample of inmate case files and reviewed documentation indicating by signature the inmates understood and received PREA information at orientation. The auditor observed the weekly orientation for new inmates. The auditor also reviewed inmate files for documentation of initial risk screenings. The auditor also observed the booking process for one prisoner.

Facility Characteristics

The Mat-Su Pre-Trial Facility is located at 339 East Dogwood Avenue in Palmer, Alaska. The facility houses unsentenced male and female adults and adult charged juveniles who have been arrested and are awaiting trial. Additionally, many sentenced offenders are held here awaiting transfer to sentenced facilities or pending some form of administrative action. It houses minimum through close custody inmates. There are six general housing modules and one segregation module. The state prison system in Alaska, comprising of both pre-trial booking and long-term incarceration for sentenced prisoners, is a unified system run by the AKDOC.

Mat-Su Pretrial offers educational programming to include adult basic education, GED, and life skills in order for individuals to improve interpersonal and academic skills, to successfully function within the institution, and to enhance community integration and economic self-sufficiency.

Classes and programs are presented in lengths ranging from seminars of 1 1/2 to 3 hours, 1 to 3 days in length, to longer meetings of 1 1/2 hours for 15 sessions over 5 - 6 weeks. Class offerings are posted weekly in inmate modules and inmates are then given the opportunity to request participation in those classes. The library is also available to them daily for study, reading and educational videos.

Classes are taught by the education coordinator, volunteers and contractors. GED testing is also available to inmates. The education coordinator is the Chief Examiner for the Mat-Su Pre-Trial Facility.

Available classes and programs include:

Adult Basic Education (ABE)
General Equivalency Diploma (GED)
Parenting
Choosing Change I & II
Career Advantage
Criminal Attitudes Program
Alaska Food Workers Card
Anger Management
CPR/First Aid
Alcoholics Anonymous (AA)
Chaplaincy Core Programs
Narcotics Anonymous (NA)

Summary of Audit Findings

Upon completion of the on-site visit, an exit briefing was held to discuss the audit observations and findings. This briefing was held in the Superintendent's Office with the AKDOC PREA Coordinator, Superintendent, Lieutenant and PCM. The facility staff was found to be cooperative and professional. Staff morale appeared to be good and the observed staff/inmate relationships were determined to be good. The auditor discussed the report process and indicated a corrective action plan would be required. The auditor thanked the Superintendent and staff for their hospitality and professionalism.

Number of Standards Exceeded: 0

Number of Standards Met: 34

Number of Standards Not Met: 11

115.13, 115.14, 115.15, 115.17, 115.33, 115.35, 115.41, 115.53, 115.81, 115.86, 115.88.

Summary of Corrective Action (if any)

The Interim Audit Report, dated July 12, 2019 indicated that 19 Standards (115.11, 115.12, 115.13, 115.14, 115.15, 115.17, 115.21, 115.33, 115.35, 115.41, 115.51, 115.52, 115.53,

115.67, 115.76, 115.81, 115.86, 115.87 and 115.88) were non-compliant. Therefore, a required corrective action period not to exceed 180 days began. The Auditor recommended corrective action for the facility which they agreed to and began immediate corrections of the Standard found to be in non-compliance. The Auditor reviewed all the submitted documentation to determine if full compliance was achieved. A summary of the evidentiary basis for determining full compliance is discussed within the standard that was originally non-compliant. As a result of successful corrective action, eight additional standards were determined to be in full compliance. Eleven standards did not meet compliance.

PREVENTION PLANNING

Standard 115.11: Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

All Yes/No Questions Must Be Answered by The Auditor to Complete the Report

115.11 (a)

- Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? Yes No
- Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? Yes No

115.11 (b)

- Has the agency employed or designated an agency-wide PREA Coordinator? Yes No
- Is the PREA Coordinator position in the upper-level of the agency hierarchy? Yes No
- Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities? Yes No

115.11 (c)

- If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.) Yes No NA
- Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

115.11 (a) AKDOC P&P 808.19 clearly mandate zero tolerance toward all forms of sexual abuse and sexual harassment.

The AKDOC has drafted a revision for Policy and Procedure #808.19 which improves and enhances the original version and outlines the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment and meets the requirement of this standard. The policy is still in draft and has not been implemented.

115.11 (b) The AKDOC employs a full-time agency-wide PREA Coordinator who reports to the Deputy Director of Institutions. This individual is responsible for oversight of the development, implementation and maintenance of all PREA-related strategies throughout the department. An organizational chart was provided showing the PREA Coordinators position within the department. The PREA Coordinator who is also the Criminal Justice Planner for the agency. He is knowledgeable of PREA standards and has the authority to develop, implement, and oversee PREA compliance. The PREA Coordinator stated he has sufficient time to complete his duties; he has not been able to successfully implement all aspects of PREA compliance in the current structure. Oversight of the PREA program at the facility level is inadequate.

115.11 (c) Mat-Su Pre-Trial Facility had two PREA Compliance Managers (PCM) who were staff Sergeants. One Sergeant was recently reassigned to a vacant training sergeant position. They currently have one PCM. He was very interested and committed to fully implement PREA but has multiple job responsibilities and inadequate time to devote to full implementation and was not provided with adequate training or support. A statewide training for all PCMs is highly recommended.

Corrective Action Plan:

The draft of Policy 808.19 needs to be finalized and implemented. The Agency PREA Coordinator needs to make frequent facility visits and quality assurance checks along with regular staff interaction and provide PREA education and informational discussions to support continuous and routine PREA implementation. AKDOC leadership needs to make PREA a priority and provide appropriate resources, training and support.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation during the corrective action period to evidence and demonstrate corrective action taken by AKDOC and the Mat-Su Pre-Trial Facility regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Memo from State PREA Coordinator dated 10/22/2019 regarding policy implementation.
2. Revised Policy & Procedure 808.19- Sexual Abuse/Sexual Assault and Reporting
3. Schedule from the State PREA Coordinator regarding site visits and PCM training

The AKDOC revised its PREA Policy and Procedure 808.19 which has been finalized on October 17, 2019 and is now implemented. The Policy addresses the zero-tolerance policy toward all forms of sexual abuse and sexual harassment and outlines its approach to preventing detecting and responding to such conduct. The State PREA Coordinator provided documentation that during the 2019 monthly PCM meeting held by tele conference a short training is conducted surrounding PREA related issues in order to increase PCM's knowledge base. The State PREA Coordinator has developed a travel plan for all AKDOC facilities which will enable the verification that policies and practices are being implemented within the AKDOC's facilities. During each scheduled PREA visits a one day training will occur at each facility for the PCM and any alternates. This one-day training is an intensive review of PREA policies & practices as well as the federal standards. This Standard is now fully compliant.

Standard 115.12: Contracting with other entities for the confinement of inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.12 (a)

- If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) Yes No NA

115.12 (b)

- Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates OR the response to 115.12(a)-1 is "NO".) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

The AKDOC has entered into 20 contracts for the confinement of inmates. 15 of these contracts are with local city jails and 5 of these contracts are for the confinement of inmates in Community Resource Centers. All of these contracts require the contracted facility to comply with the requirements of the Prison Rape Elimination Act. The AKDOC monitors for compliance with the contract language requiring a contracted facility to comply with the PREA standards by accomplishing annual audits which are done in conjunction with jail standards. Furthermore, the contract language requires the following: "In order to maintain quality services and ensure contract compliance, contact and communication between the Division of Institution and the Contractor is essential. In addition to reviewing required reports from contractors, the department will conduct annual inspections..." The annual audit of jails does not comply with PREA standards as certified PREA audits were not conducted. The Community Resource Centers were audited once every three years and certified PREA audits were issued.

The AKDOC monitors for compliance by utilizing a data base to track PREA cases that occur from contracted facilities. Communication occurs between contracted facilities for compliance factors and monitoring along with tracking of investigation and case progression.

Corrective Action Plan:

Per PREA standards, during the prior three-year audit period ensure that each facility operated by the agency, or by a private organization or other entities including other government agencies on behalf of the agency, was audited at least once.

Verification of Corrective Action since the on-site Audit:

Per discussion with the State PREA Coordinator, he is actively working with the local city jails to meet the contract requirement of fully complying with the requirements of the Prison Rape Elimination Act which would include a PREA audit being completed. He is aware these contracted facilities have until 8/2022 to be in full compliance or AKDOC can't contract with them. This Standard is now compliant.

Standard 115.13: Supervision and monitoring

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.13 (a)

- Does the agency ensure that each facility has developed a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? Yes No
- Does the agency ensure that each facility has documented a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? Yes No
- Does the agency ensure that each facility's staffing plan takes into consideration the generally accepted detention and correctional practices in calculating adequate staffing levels and determining the need for video monitoring? Yes No

- Does the agency ensure that each facility’s staffing plan takes into consideration any judicial findings of inadequacy in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration any findings of inadequacy from Federal investigative agencies in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration any findings of inadequacy from internal or external oversight bodies in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration all components of the facility’s physical plant (including “blind-spots” or areas where staff or inmates may be isolated) in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration the composition of the inmate population in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration the number and placement of supervisory staff in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration the institution programs occurring on a particular shift in calculating adequate staffing levels and determining the need for video monitoring? Yes No NA
- Does the agency ensure that each facility’s staffing plan takes into consideration any applicable State or local laws, regulations, or standards in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration the prevalence of substantiated and unsubstantiated incidents of sexual abuse in calculating adequate staffing levels and determining the need for video monitoring? Yes No
- Does the agency ensure that each facility’s staffing plan takes into consideration any other relevant factors in calculating adequate staffing levels and determining the need for video monitoring? Yes No

115.13 (b)

- In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)
 Yes No NA

115.13 (c)

- In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section? Yes No
- In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies? Yes No
- In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? Yes No

115.13 (d)

- Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment? Yes No
- Is this policy and practice implemented for night shifts as well as day shifts? Yes No
- Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

115.13 (a) The AKDOC Policy and Procedure #102.04 Institutional Staff Meeting which address the components of section 115.13 (a) was signed on 9/7/2018. The facility implemented the policy and a staffing plan was completed.

115.13 (b) The Facility reported that in the past 12 months it deviated from the staff plan nine times. The most common reasons for deviating from the staffing plan are; sick calls, prisoner transport, hospital duty, training and annual leave.

115.13 (c) Mat-Su Pre-Trial Facility has not performed a "once every year review" of its staffing plan in collaboration with the PREA Coordinator. This requirement is addressed in the P&P 102.04 and has not been implemented.

115.13 (d) The facility did not provide a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced PREA rounds to identify and

deter staff sexual abuse and sexual harassment or have a policy to prohibit staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility. While it was reported by staff and inmates that supervisors do make rounds routinely, documentation of unannounced was not provided.

It was noted during the tour, inmates work in the kitchen at times without direct supervision. There are cameras in the kitchen but they do not cover the cooler and freezer which were not locked and created a blind spot. The facility has obtained locks and these areas are secured whenever staff are not present.

Corrective Action Plan:

Mat-Su Pre-Trial Facility needs to fully implement policy #102.04 addressing annual reviews. Develop and implement a policy regarding intermediate-level or higher-level supervisors conducting and documenting unannounced rounds and to prohibit staff from alerting other staff members that these supervisory rounds are occurring.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation on October 15, 2019 to evidence and demonstrate corrective action taken by AKDOC and the Mat-Su Pre-Trial Facility regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting
2. AKDOC Interim Policy and Procedure Memorandum (IPPM)-PREA Inspections (AKDOC P&P 1208.04 Institutional Inspections)
3. Mat-Su Pre-Trial staffing plan
4. Memo from Superintendent dated 6/9/2019 regarding PREA Unannounced Rounds
5. Documentation of Unannounced PREA rounds

Mat-Su Pre-Trial facility provided a copy of the facility staffing plan. An annual review of the staffing plan and approval by the PREA coordinator was not completed. The facility provided a memo directing supervisors to conduct and document PREA Unannounced rounds per the Interim policy. Documentation that unannounced PREA rounds by Supervisors were being logged was also provided. The facility or agency did not provide a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring. This Standard remains non-compliant.

Standard 115.14: Youthful inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.14 (a)

- Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA

115.14 (b)

- In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA
- In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA

115.14 (c)

- Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA
- Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA
- Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

In the past 12 months, Mat-Su Pre-Trial Facility reported there were four youthful offenders housed at this institution. There were no youthful offenders housed at the facility during the on-site audit. It was reported that youthful offenders were not housed in an area that provided sight and sound separation from adult offender and are housed in the segregation unit. Youthful offenders are escorted by correctional officers to and from their destinations and are under direct supervision when in education, program or recreation.

Corrective Action Plan:

The facility needs to review its operation and determine if it can house youthful offenders in an area that ensures sight and sound separation from adult offenders. The facility should make its best efforts to avoid placing youthful inmates in isolation to comply with this provision. Develop a youthful offender plan to meet the requirements of this standard.

Verification of Corrective Action since the on-site Audit:

The Auditor was not provided with any supplemental documentation to evidence and demonstrate corrective action taken by the Mat-Su Pre-Trial Facility regarding this Standard. This Standard remains non-compliant.

Standard 115.15: Limits to cross-gender viewing and searches

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.15 (a)

- Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?
 Yes No

115.15 (b)

- Does the facility always refrain from conducting cross-gender pat-down searches of female inmates in non-exigent circumstances? (N/A here for facilities with less than 50 inmates before August 20,2017.) Yes No NA
- Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A here for facilities with less than 50 inmates before August 20, 2017.) Yes No NA

115.15 (c)

- Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches? Yes No
- Does the facility document all cross-gender pat-down searches of female inmates?
 Yes No

115.15 (d)

- Does the facility implement a policy and practice that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? Yes No

- Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit? Yes No

115.15 (e)

- Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status? Yes No
- If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner? Yes No

115.15 (f)

- Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? Yes No
- Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC Policy #811.04 – Search Upon Admission, Policy #1208.08 - Searches of Prisoners and Institutional Areas and the Guidance in Cross-Gender and Transgender Pat Searches training curriculum were reviewed and address the requirements of this standard.

Staff and inmate interviews and direct observation determined the facility does not conduct cross-gender strip or cross-gender visual body cavity searches. The facility always refrain from conducting cross-gender pat-down searches of female inmates in non-exigent circumstances. There are always adequate female staff on shift to allow for nonrestrictive movement of female inmates. Staff members are prohibited from and do not search transgender or intersex inmates to determine an inmates' genital status. The facility reported there were no cross gender strip searches or cross gender visual body cavity searches conducted in the past 12 months. Based on interviews with staff and inmates and personal observation, with the exception of camera views in segregation cells, it was determined inmates are able to shower,

perform bodily functions and change clothes without opposite gender non-medical staff observing their genitalia or buttocks, except in exigent circumstances or when such viewing is incidental to routine cell checks. Staff members of the opposite gender announce their presence when entering a housing area. There is signage that staff of the opposite gender routinely work and visit inmate housing areas.

The facility has a PREA training curriculum on how to conduct cross-gender pat-down searches and searches of transgender and intersex inmates, in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs. There were no transgender inmates at the facility at the time of the on-site audit. Per interviews, several male officers indicated that they conduct a modified pat search of female inmates if female officers are not available in the booking area. They would do a “weapons search” that included pulling out the pants pockets of female inmates.

Corrective Action Plan:

In cells with camera views of the toilets, program software to block views of the toilet area. Provide documentation that verifies toilet views are blocked. Retrain or instruct male officers they are not to conduct any type of pat searches of female inmates. Provide documentation of retraining or instruction.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by the Mat-SU Pre-Trial Facility regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Memo dated June 4, 2019 regarding cross gender pat searches
2. Training roster of staff attending cross gender pat searches

The facility provided a memo to staff regarding cross gender pat searches. The memo clearly indicated that male officers are not to pat down female offenders. A training roster was also provided indicating staff were retrained on cross gender pat searches. The facility did not address the camera views in segregation cells. This Standard remains as non-compliant.

Standard 115.16: Inmates with disabilities and inmates who are limited English proficient

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.16 (a)

- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect,

and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing? Yes No

- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes)? Yes No
- Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing? Yes No
- Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? Yes No
- Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities? Yes No
- Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills? Yes No
- Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Are blind or have low vision? Yes No

115.16 (b)

- Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient? Yes No
- Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? Yes No

115.16 (c)

- Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and AKDOC P&P- 811.08 Prisoner Orientation were reviewed and address the requirements of this standard. The policies ensure inmates with disabilities and who are limited English proficient (LEP) have access to PREA information and programs. Mat-Su Pre-Trial Facility has taken appropriate steps to ensure that inmates who are Limited English Proficient (LEP) or disabled have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect and respond to sexual abuse and sexual harassment. Mat-Su Pre-Trial Facility has a contract for interpreter and language services. Staff reported they rarely encounter an inmate that doesn't speak English.

PREA posters are available throughout the facility for inmates, staff and visitors. Per memo and staff interviews, inmates are not used as interpreters, when addressing sexual abuse and sexual harassment allegations. Informational and educational materials for inmates with physical and mental disabilities are provided in ways that will enable the inmates to understand the PREA zero tolerance policy, related material and how to report allegations of sexual abuse or sexual harassment.

The review of policies, PREA brochures and posters, resources available and supporting documentation, as well as staff and inmate interviews demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

Standard 115.17: Hiring and promotion decisions

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.17 (a)

- Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? Yes No
- Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? Yes No
- Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the question immediately above? Yes No
- Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? Yes No
- Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? Yes No
- Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the question immediately above? Yes No

115.17 (b)

- Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates? Yes No

115.17 (c)

- Before hiring new employees, who may have contact with inmates, does the agency: perform a criminal background records check? Yes No
- Before hiring new employees, who may have contact with inmates, does the agency: consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? Yes No

115.17 (d)

- Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates? Yes No

115.17 (e)

- Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees? Yes No

115.17 (f)

- Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions? Yes No
- Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees? Yes No
- Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct? Yes No

115.17 (g)

- Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination? Yes No

115.17 (h)

- Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 202.10 – Identification Badge and Authority, P&P 202.12 – Employee Background Investigation, P&P 202.14 – Alaska Police Standards Employee Background Investigation, P&P 819.01 - Program Volunteer Services and P&P 809.10 – Citizen Involvement and Volunteers were reviewed.

115.17 (a) The AKDOC does not have a policy that prohibits the hiring or promotion of anyone who falls under the categories listed in this subsection. AKDOC's policy 202.12 regarding background investigations is under review and being revised to account for all of the requirements of this standard. The AKDOC Human Resources specialists conduct a background investigation which meets the requirements. Human Resources specialists issue a background checklist to any agency that an applicant reports prior employment with relating to a prison, jail, lockup, community confinement facility or juvenile facility. An applicant that doesn't meet the requirements shall not be considered for hire or for promotion. A Human Resources Specialist will conduct a background check of all applicants to ensure that no convictions or attempts to engage in sexual activity in the community facilitated by force, overt or implied threats of force or correction or if the victim did not consent or was unable to consent or refuse. As part of the background check, the applicant is entered into the Court View system to ensure that no civil adjudications have occurred for any of the conditions in paragraph 115.17 (a). 2. A screen shot of the Courtview system has been provided. An applicant that doesn't meet the requirements shall not be considered for hire or for promotion.

115.17 (b) The Alaska Department of Corrections does not have a policy that requires the department to consider any incident of sexual harassment in determining whether to hire or promote anyone. The current AKDOC policy regarding background investigations 202.12 has been included. The draft of policy 202.12 will make the appropriate changes to employee backgrounds that will meet the requirements of considering all incidents of sexual harassment in determine whether to hire or promote anyone.

115.17 (c) The AKDOC policy require a background check of all employees who may have contact with inmates. The human resources personnel will address with any prior institutional employment, requests for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse. For prior employment within the State of Alaska these requests are transferred to the AKDOC PREA Coordinator for research. For out of state institutional employment, the requests are sent to the most direct institution that the employee has reported working at. With other institutions such as Juvenile Justice within the State of Alaska these requests are forwarded to the PREA Coordinator with the Division of Juvenile Justice for response.

115.17 (d) The AKDOC policy requires a background check of all employees/volunteers or contractors utilizing an application for identification card form (202.10A) and in the cases of volunteers and contracts an additional form 819.01A is utilized. Both forms require a background check of the Alaska Public Safety Information Network (APSIN) and the National Crime Information Center (NCIC).

115.17 (e) The AKDOC P&P 202.10 requires a background check of all employees/volunteers or contractors every five years. This system is in conjunction with our Identification Badge

issuance, which is also required for entry into a facility. The facility was not able to provide documentation that that all employees had a background check completed every five years.

115.17 (f) The AKDOC utilizes an on-line application system for employees. Applicants complete a PREA disclosure form and are asked about previous misconduct.

115.17 (g) The AKDOC P&P 202.14 states that an applicant who omits or misrepresent material information or information that reasonably can be considered detrimental to the applicant's interest in obtaining employment will be disqualified.

The Mat-Su Pre-Trial Facility reported everyone who is hired has a background check completed. In the past 12 months this facility has not hired any new staff. Every contractor will have a background check completed before they are allowed to work in the facility. In the past 12 months, 125 background checks were completed on contractors and volunteers.

Corrective Action Plan: Finalize and implement Policy 202.12 to address all the requirements of this standard. Provide documentation that 5 years background checks are being completed per this standard.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation during the corrective action period to evidence and demonstrate corrective action taken by AKDOC and the Mat-Su Pre-Trial Facility regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy & Procedure 808.19- Sexual Abuse/Sexual Assault and Reporting

AKDOC revised P&P 808.19 to incorporate language per this PREA standard. The facility did not provided verification that 5 year background checks were completed. This Standard remains non-compliant.

Standard 115.18: Upgrades to facilities and technologies

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.18 (a)

- If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)
 Yes No NA

115.18 (b)

- If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)
 Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Mat-Su Pre-Trial Facility has not had any substantial expansion or modification of existing facilities since August 20, 2012.

Mat-Su Pre-Trial has installed 13 new cameras throughout the facility bringing the total number to 68. The cameras were added to areas that had no monitoring capabilities in the past. There have been 7 new monitors added that replaced old ones.

Mat-Su Pre-Trial Facility considers how the building modifications and surveillance upgrades can enhance the facility's ability to protect inmates from sexual abuse and is compliant with this standard.

RESPONSIVE PLANNING

Standard 115.21: Evidence protocol and forensic medical examinations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.21 (a)

- If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)
 Yes No NA

115.21 (b)

- Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) Yes No NA
- Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) Yes No NA

115.21 (c)

- Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate? Yes No
- Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible? Yes No
- If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)? Yes No
- Has the agency documented its efforts to provide SAFEs or SANEs? Yes No

115.21 (d)

- Does the agency attempt to make available to the victim a victim advocate from a rape crisis center? Yes No
- If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? Yes No
- Has the agency documented its efforts to secure services from rape crisis centers? Yes No

115.21 (e)

- As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews? Yes No
- As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals? Yes No

115.21 (f)

- If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating entity follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.) Yes No NA

115.21 (g)

- Auditor is not required to audit this provision.

115.21 (h)

- If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? [N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.21(d) above.] Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

The AKDOC is responsible for conducting administrative investigations regarding sexual abuse. During a sexual abuse investigation, first responders are trained in protocol developed from the National Protocol for Sexual Assault Medical Forensic Examinations, developed by the U.S. Department of Justice. The AKDOC evidence protocol is appropriate for youth. The Department of Justice evidence protocol recommends that adolescents receive considerations when receiving a sexual assault exam appropriate for their age or level of advancement physiologically. The protocol recommends informed consent and for parents or guardians to be informed and allowed to be present during an exam.

The AKDOC offers victims of sexual abuse access to forensic medical examinations. The Alaska State Troopers (AST) is the investigation body and is responsible for transport and arrangement of examinations. These examinations are not conducted at the facilities but at a local hospital. Forensic medical examinations are offered at no cost to the victim. Examinations are conducted utilizing SANEs at the local hospitals. Hospitals maintain SANE's that are on call for 24 hour responses. It is the Department understanding that SAFEs and SANEs are the only personnel at the hospitals that conduct these examinations. The

hospital may utilize other staff to assist with the process, but a trained professional with these credentials are utilized. It is the Department's understanding that any other qualified medical practitioner utilized for forensic evidence collection and exam, would be certified. There were no forensic medical exams conducted in the past 12 months.

AST are responsible for conducting criminal allegations of sexual abuse. Currently there is not an MOU with the Department of Public Safety (DPS) for performing this responsibility and comply with all the requirements of 115.21 a-e. The AKDOC has begun working with the DPS in order to work out a formalized agreement and currently relies on the normal functions of DPS to enforce Alaska laws. AST is responsible for investigating any unclassified or Class A felonies. This relates to the Alaska offense of Sexual Assault in the First Degree. All other offenses, fall under the investigative authority of the AKDOC. The AKDOC has the responsibility of the Alaska offenses of Sexual Assault in the Second through Fourth Degree.

The Inmate Handbook provides the phone number for Standing Together Against Rape (STAR). STAR is the primary responder with the AST for all investigations of sexual assault. AST will also utilize other local victim advocates based on the location of the incident. AKDOC has a MOU with Alaska Family Services to provide victim advocacy services. Per the MOU, Alaska Family Services will provide an advocate when requested by the victim, to accompany and support the victim through the forensic medical examination process and investigatory interviews and provide emotional support, crisis intervention, information, and referrals.

Corrective Action Plan: Request that AST follow the requirements of paragraphs (a) through (e) of this section and to document they are following the investigative requirements.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Email from PREA Coordinator dated 11/26/2019 regarding AST investigation requirements.
2. Request to the Department of Public Safety, Division of the Alaska State Patrol.
3. Draft MOU between AKDOC and the Department of Public Safety.

AKDOC requested that the Department of Public Safety, Division of the Alaska State Patrol follow the requirements of paragraphs (a) through (e) of this section. The AKDOC indicated AST Sexual Assault Protocol meets the requirement. This Standard is now fully compliant.

Standard 115.22: Policies to ensure referrals of allegations for investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.22 (a)

- Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse? Yes No
- Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment? Yes No

115.22 (b)

- Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? Yes No
- Has the agency published such policy on its website or, if it does not have one, made the policy available through other means? Yes No
- Does the agency document all such referrals? Yes No

115.22 (c)

- If a separate entity is responsible for conducting criminal investigations, does such publication describe the responsibilities of both the agency and the investigating entity? [N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).] Yes No NA

115.22 (d)

- Auditor is not required to audit this provision.

115.22 (e)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

The AKDOC ensures that all PREA reports receive an administrative or criminal investigation and they are completed for allegations of sexual abuse or sexual harassment. Every PREA allegation is vetted by the State PREA coordinator for case assignment. The AKDOC P&P

808.19 requires all cases involving sexual abuse or staff sexual misconduct to be referred to the Alaska State Troopers for investigation. In addition, AKDOC P&P 808.20 PREA Investigations requires that all cases involving sexual abuse that rise to the definitions of Alaska law under Sexual Assault I-IV, shall be referred immediately to the Alaska State Troopers for investigation.

Documentation of all referral of allegation of sexual abuse or sexual harassment for criminal investigations is accomplished on completed Special Incident Reports and within the PREA data base. During the past 12 months, Mat-Su Pre-Trial Facility received four allegations of sexual abuse and sexual harassment. An administrative investigation was completed for three of the allegations and one allegation is currently being investigated by AST and has not been closed. No allegations were referred for a criminal investigation.

The AKDOC publicizes its all of their public policies on the following web site: <http://www.correct.state.ak.us/>. PREA policies can be found as a quick link under the Prison Rape Elimination Act Information quick link on the home page. The PREA information tab has a quick link under policies that links the PDF file of the policies which address the referral of allegations of sexual abuse.

The review of policies, AKDOC website, supporting documentation and interview with the PREA Coordinator demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

TRAINING AND EDUCATION

Standard 115.31: Employee training

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.31 (a)

- Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment? Yes No
- Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures? Yes No
- Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment Yes No
- Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment? Yes No
- Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement? Yes No

- Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims? Yes No
- Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse? Yes No
- Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates? Yes No
- Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates? Yes No
- Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?
 Yes No

115.31 (b)

- Is such training tailored to the gender of the inmates at the employee's facility? Yes No
- Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa? Yes No

115.31 (c)

- Have all current employees who may have contact with inmates received such training?
 Yes No
- Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures? Yes No
- In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies? Yes No

115.31 (d)

- Does the agency document, through employee signature or electronic verification, that employees understand the training they have received? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)

Does Not Meet Standard (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC P&P 201.09 - Prohibition of Sexual Harassment and the PREA lesson plan were reviewed and address the requirements of this standard. All staff may come in contact with inmates and are required to be trained. The training addresses all of the topics identified in this standard. PREA refresher training is provided every two years. Staff must acknowledge, in writing, that they have received and understand the training conducted regarding the Agency's sexual abuse and sexual harassment policies and procedures. The auditor reviewed the training roster and signed acknowledgements.

The facility reported that all staff has received PREA refresher training in the past 12 months. The training on PREA requirements was conducted through Learn Alaska with an online interactive video module and test. As of June 30th, 2018 the AKDOC no longer has a contract with Learn Alaska. The AKDOC retains the training material which is utilized with an instructor until another online interactive training program contract can be established. Mandatory policy review and acknowledgement is provided annually to all employees on sexual abuse / sexual assault reporting and sexual harassment.

Compliance with this standard was determined by review of policies, training curriculums, supporting documentation and interviews.

Standard 115.32: Volunteer and contractor training

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.32 (a)

- Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures? Yes No

115.32 (b)

- Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)? Yes No

115.32 (c)

- Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and the Volunteer PREA training lesson plan were reviewed and address the requirements of this standard. The review of volunteer and contractor PREA training sign-in forms and other documents by the auditor confirmed that all facility contractors and volunteers have received initial training related to their responsibilities concerning the PREA (zero-tolerance, detection, prevention, response and reporting requirements) and annual refresher training. A review of the PREA contractor and volunteer PREA training curriculums plan confirmed that the level of instruction is appropriate for the services provided and emphasizes the facility's zero-tolerance and reporting policies.

All volunteers and contractors sign the 202.01b, AAA volunteer orientation including PREA, and volunteer application. Part of this document talks about their responsibility regarding PREA. There were 37 volunteers and contractors who received this information. All volunteers and contractors are notified of our zero tolerance policy. The security department maintains the training records. Contractors who just work on temporary projects are not trained on PREA as they are always under staff supervision.

Compliance with this standard was determined by review of policies, training curriculums, supporting documentation and interviews.

Standard 115.33: Inmate education

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.33 (a)

- During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment? Yes No
- During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment? Yes No

115.33 (b)

- Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment? Yes No
- Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents? Yes No
- Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents? Yes No

115.33 (c)

- Have all inmates received such education? Yes No
- Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?
 Yes No

115.33 (d)

- Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who are deaf? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills? Yes No

115.33 (e)

- Does the agency maintain documentation of inmate participation in these education sessions?
 Yes No

115.33 (f)

- In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, the Prisoner Handbook which includes the PREA Flyer and AKDOC Zero Tolerance handout were reviewed and address the requirements of the standard. The information identifies the key elements of the program and informs inmates of the zero-tolerance policy regarding sexual abuse and sexual assault and multiple ways to report sexual abuse/sexual harassment.

Inmates are not provided PREA information at intake.

Inmates receive comprehensive PREA education as part of the facility orientation process typically within one week of arriving at the facility. All new inmates attend orientation and orientation is mandatory. The Education Coordinator facilitates the inmate orientation and a PREA video is played and discussed. Inmates sign an acknowledgement indicating they received and understood the PREA material.

PREA posters and pamphlets are posted throughout the facility in formats accessible to all inmates to ensure that key information is continuously and readily available or visible to inmates. Information on the posters and in the pamphlet includes the zero-tolerance policy, inmate rights and how to report incidents. Policy require information be provided in formats accessible to all inmates, including those who are limited English proficient, deaf, visually impaired, or otherwise disabled, as well as to inmates who have limited reading skills.

During interviews, inmates acknowledged the information being provided during orientation and have seen posters displayed throughout the institution. The inmates interviewed knew the zero-tolerance policy; how and who to report to; and that they have the right to be free from retaliation for reporting such incidents. The auditor reviewed signed inmate acknowledgements, which demonstrated inmates received and understood the PREA information.

Corrective Action Plan:

During intake, ensure inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and information explaining how to report incidents or suspicions of sexual abuse or sexual harassment. Document that inmates received the required information at intake.

Verification of Corrective Action since the on-site Audit:

The Auditor was not provided with any supplemental documentation to evidence and demonstrate corrective action taken by the Mat-Su Pre-Trial Facility regarding this Standard. This Standard remains non-compliant.

Standard 115.34: Specialized training: Investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.34 (a)

- In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators have received training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA

115.34 (b)

- Does this specialized training include techniques for interviewing sexual abuse victims? [N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).] Yes No NA
- Does this specialized training include proper use of Miranda and Garrity warnings? [N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).] Yes No NA
- Does this specialized training include sexual abuse evidence collection in confinement settings? [N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).] Yes No NA
- Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? [N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).] Yes No NA

115.34 (c)

- Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? [N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).] Yes No NA

115.34 (d)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.20 and the DOJ NIC PREA investigator training lesson plan were reviewed and address the requirements of this standard. The facility PREA Compliance Manager has been trained as a PREA investigator and would conduct administrative investigations. The auditor reviewed the specialized training certificate for Investigating Sexual Abuse in a Confinement Setting. The training curriculum was provided for review and found to include interviewing sexual abuse victims, proper use of the Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. The facility has one trained investigator. The Alaska State Troopers are responsible for conducting criminal allegations of sexual abuse.

The facility PREA investigator and an Alaska State Trooper were interviewed and were knowledgeable of the investigation process and stated they received both the general and specialized training.

Compliance with this standard was determined by a review of policy, training lesson plan and Interviews with the investigators.

Standard 115.35: Specialized training: Medical and mental health care

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.35 (a)

- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? Yes No
- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? Yes No

- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? Yes No
- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? Yes No

115.35 (b)

- If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams.) Yes No NA

115.35 (c)

- Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? Yes No

115.35 (d)

- Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? Yes No
- Do medical and mental health care practitioners contracted by and volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Currently there is no policy on medical training. No medical and mental health personnel have had additional specialized training as required by this standard.

Medical staff at Mat-Su Pre-Trial Facility do not conduct forensic medical exams. Inmates are transported to a local hospital that has a SART team for an exam.

Corrective Action Plan: Develop and implement a policy and training lesson plan for specialized training for medical and mental health staff. Ensure all medical and mental health staff completes the specialized training. Document training was completed.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting
2. PREA Lesson Plan which includes specialized training for Medical and Mental Health staff

The revised Policy 808.19 addresses specialized PREA training for medical staff. The State PREA Coordinator recently updated the PREA training lesson plan to include the specialized training requirements for medical and mental health staff. Medical and mental health personnel at the Mat-Su Pre-Trial Facility have not been trained utilizing the updated PREA lesson plan. This Standard remains as non-compliant.

**SCREENING FOR RISK OF SEXUAL VICTIMIZATION
AND ABUSIVENESS**

Standard 115.41: Screening for risk of victimization and abusiveness

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.41 (a)

- Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? Yes No
- Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? Yes No

115.41 (b)

- Do intake screenings ordinarily take place within 72 hours of arrival at the facility?
 Yes No

115.41 (c)

- Are all PREA screening assessments conducted using an objective screening instrument?
 Yes No

115.41 (d)

- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes? Yes No

115.41 (e)

- In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior acts of sexual abuse? Yes No
- In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior convictions for violent offenses? Yes No
- In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: history of prior institutional violence or sexual abuse? Yes No

115.41 (f)

- Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening? Yes No

115.41 (g)

- Does the facility reassess an inmate's risk level when warranted due to a: Referral? Yes No
- Does the facility reassess an inmate's risk level when warranted due to a: Request? Yes No
- Does the facility reassess an inmate's risk level when warranted due to a: Incident of sexual abuse? Yes No
- Does the facility reassess an inmate's risk level when warranted due to a: Receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness? Yes No

115.41 (h)

- Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section? Yes No

115.41 (i)

- Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and the PREA screening form were reviewed and address the requirements of this standard. All inmates are immediately assessed for a history of sexual abusiveness and risk of sexual victimization during the in-processing procedures. The facility utilizes a standardized PREA Intake Objective Screening Form. The review of the screening documents by the auditor confirmed that the facility considers all the criteria required by this standard to identify inmates at a high risk for sexual victimization or at a high risk of sexually abusing other inmates. A medical staff will ask PREA related questions at booking. The in house Probation Officer will complete a PREA risk assessment of every intake in the institution by the next business day. The facility reported that during the past 12 months, 1,386 inmates were screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their entry into the facility. The auditor observed the booking and risk screening process for one inmate.

The facility was not completing 30 day reassessments as required per this standard. The current policy does not require a reassessment if new information is received. The draft of P&P addresses this issue. However at this facility we would complete a reassessment if there was a need to.

Prisoners are not punished for refusing to answer questions on the PREA risk assessment. This is not in the current policy; however it is in a proposed update to that policy.

Corrective Action Plan:

Finalize and implement the draft of policy 808.19. Ensure all prisoners are reassessed within 30 days of intake and document.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting
2. Revise PREA Risk Assessment form

AKDOC revised P&P 808.19 to incorporate requirements per this PREA standard as well as a revised PREA Risk Assessment form. The Auditor was not provided with any supplemental

documentation to evidence and demonstrate corrective action taken by the Mat-Su Pre-Trial Facility regarding 30 reassessments. This Standard remains non-compliant.

Standard 115.42: Use of screening information

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.42 (a)

- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments? Yes No

115.42 (b)

- Does the agency make individualized determinations about how to ensure the safety of each inmate? Yes No

115.42 (c)

- When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? Yes No
- When making housing or other program assignments for transgender or intersex inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's

health and safety, and whether a placement would present management or security problems?
 Yes No

115.42 (d)

- Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?
 Yes No

115.42 (e)

- Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments? Yes No

115.42 (f)

- Are transgender and intersex inmates given the opportunity to shower separately from other inmates? Yes No

115.42 (g)

- Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? Yes No
- Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? Yes No
- Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 809.9 was reviewed and addresses the requirements of this standard. Risk screening information is used to determine housing assignments, with the goal of keeping separate those inmates at a high risk of being sexually victimized from those at a high risk of being sexually abusive. Institutional probation officers consider the PREA Risk Assessment Form results when making placement assignments. At Mat-Su Pre-Trial Facility a prisoner would have a caution added to our prisoner database (ACOMS) if they were scored as being a potential victim or a potential aggressor. This would also show up in our facility roster reports generated from the prisoner database. A plus sign before the prisoner's name would signify a potential aggressor, while a minus sign would signify a potential victim. A plus and a minus cannot be housed in the same cell with each other. However, a prisoner without a plus or minus sign can be housed with either one. These assignments are made on a case-by-case basis.

The agency decides whether to assign a transgender or intersex inmate to a facility for male or female inmates. The facility determines other housing and programming assignments for transgender or intersex inmates on a case-by-case basis, to include whether a placement would ensure the inmate's health and safety and whether the placement would present management or security problems. Placement and programming assignments for each transgender or intersex inmate are reassessed at least once every six months. At Mat-Su Pre-Trial Facility the PREA Compliance Manager will meet with any transgender to discuss appropriate housing assessments. Results of the interview will be documented in ACOMS under "c-notes section". Transgender and intersex inmates are given the opportunity to shower separately from other inmates and the inmate's own views with respect to their safety are given serious consideration.

Compliance with this standard was determined by a review of the policy, procedures and supporting documentation and interviews with the probation officer and PCM.

Standard 115.43: Protective Custody

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.43 (a)

- Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers? Yes No
- If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment? Yes No

115.43 (b)

- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible? Yes No
- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible? Yes No
- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible? Yes No
- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible? Yes No
- If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The opportunities that have been limited? Yes No
- If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The duration of the limitation? Yes No
- If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The reasons for such limitations? Yes No

115.43 (c)

- Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged? Yes No
- Does such an assignment not ordinarily exceed a period of 30 days? Yes No

115.43 (d)

- If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety? Yes No
- If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged? Yes No

115.43 (e)

- In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS? Yes No

Auditor Overall Compliance Determination

Exceeds Standard (*Substantially exceeds requirement of standards*)

- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and AKDOC P&P 804.01-Administrative Segregation were reviewed and address the requirements of this standard. Inmates at high risk for sexual victimization shall not be placed in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers. If a facility cannot conduct such an assessment immediately, the facility may hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment. Per the PAQ, the facility has not placed an inmate at risk of sexual victimization in involuntary segregated housing in the past 12 months.

If involuntary placement in Segregation is made, the policy states “Segregated inmates must be afforded rights and privileges consistent with the security risks inherent in the reasons and justifications for the segregation. Access to visitation, mail, telephone, recreation, law library, and programs can be restricted only if an individualized determination is made that an inmate’s participation threatens the order and security of the facility.” Interviews with segregation staff confirmed, that to the extent possible, access to programs, privileges, education and work opportunities are not limited to inmates placed for the purposes of protective custody, except when there are safety or security concerns.

Compliance with this standard was determined by a review of policies and supporting documentation and interviews with the Superintendent, PCM and segregation staff.

REPORTING

Standard 115.51: Inmate reporting

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.51 (a)

- Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment? Yes No
- Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment? Yes No

- Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents? Yes No

115.51 (b)

- Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency? Yes No
- Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials? Yes No
- Does that private entity or office allow the inmate to remain anonymous upon request? Yes No
- Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? Yes No

115.51 (c)

- Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties? Yes No
- Does staff promptly document any verbal reports of sexual abuse and sexual harassment? Yes No

115.51 (d)

- Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC P&P 811.08 and AKDOC Policy 809.01 - Prisoner Handbook were reviewed and address the requirements of this standard. Policy 808.19 requires that inmates be provided with information on how to report during orientation and by notices in the living units. Policy 811.08 requires Inmate Orientation to include how to report sexual abuse/sexual harassment and retaliation. Policy 809.01 Prisoner Handbook requires the

facilities to provide inmate handbooks in the living units and the law library that inform inmates on how to report, how to avoid being a victim and what constitutes sexual abuse and sexual harassment. PREA posters informing inmates of the internal and external ways to report incidents of sexual abuse and sexual harassment, retaliation and staff neglect or violation of responsibility's related to sexual abuse and sexual harassment are posted throughout the facility. A PREA Inmate flyer is provided which also details reporting options.

There is a PREA confidential hotline number that anyone can call to report a potential PREA issue. This information is available on all the posters that are posted in all the housing units and the lobbies of both buildings. The AKDOC does not currently have an outside reporting entity that is not part of the agency.

Policy 808.19 requires that all inmates who are booked solely for civil immigration purposes be provided information on how to contact their relevant consular officials and relevant officials of the Department of Homeland Security.

Policies requires all Department personnel, contractors and volunteers who receive information concerning prisoner sexual victimization or if having a reasonable belief that a prisoner is a victim of sexual victimization to immediately report the information or incident directly to the most appropriate supervisory staff. Supervisory staff has the responsibility of reporting this to the shift supervisor as soon as possible. The shift supervisor has the responsibility of ensuring incident reports are completed and special incident reporting.

AKDOC training informs staff that they have mandatory reporting responsibilities. This requires them to immediately report any information they receive or have a reasonable belief that has occurred to the most appropriate supervisory staff. The information concerning sexual victimization can be verbal, in writing, anonymously or from third parties. Once any information is received no matter the means of receiving it, the staff has the immediate responsibility to report.

When the most appropriate supervisory staff is the alleged aggressor, staff training relates that the staff member may utilize a different supervisor to report the alleged sexual victimization. Furthermore, the staff member may utilize the PREA hotline or the PREA email to report. This information is also provided in pamphlets for staff during their orientation.

Corrective Action Plan: AKDOC needs to enter into a MOU with an outside reporting entity that is not part of the agency.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. MOU with Alaska State Ombudsman's Office
2. Photo of Ombudsman form posted in Facility

The AKDOC entered into an MOU with the Alaska State Ombudsman's office on August 27, 2019 to act as an outside reporting agency. Inmates are informed of the outside reporting agency during inmate education and PREA posters. The Ombudsman reporting form is available in the law library. This Standard is now fully compliant.

Standard 115.52: Exhaustion of administrative remedies

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.52 (a)

- Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. Yes No NA

115.52 (b)

- Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.) Yes No NA
- Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (c)

- Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) Yes No NA
- Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (d)

- Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.) Yes No NA

- If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)
 Yes No NA
- At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (e)

- Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)
 Yes No NA
- Are those third parties also permitted to file such requests on behalf of inmates? (If a third-party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.) Yes No NA
- If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)
 Yes No NA

115.52 (f)

- Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) Yes No NA
- After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)
 Yes No NA
- After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.) Yes No NA
- After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)
 Yes No NA

- Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) Yes No NA
- Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) Yes No NA
- Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (g)

- If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC P&P 808.20, AKDOC P&P 808.03 – Prisoner Grievances and AKDOC Interim Policy and Procedure Memorandum (IPPM) for 808.03 – Prisoner Grievances Regarding Sexual Abuse were reviewed and address the requirements of this standard. The AKDOC has a policy specific for general grievances and an Interim Policy and Procedure for addressing grievances regarding sexual abuse.

Per Policy, the agency shall not impose a time limit on when an inmate may submit a grievance regarding an allegation of sexual abuse and the agency shall not require an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse. If a grievance addresses more than one issue that is not related to sexual abuse, the inmate's grievance will proceed as an emergency issue and treated with no time limits. The portion of the grievance that is alleging a second issue not related to a sexual abuse will be dealt with separately and the inmate asked to provide a separate grievance for tracking purposes.

The policies do not require an inmate to submit a grievance to a staff member who is the subject of the complaint. Policy allows inmates to submit grievances related to sexual abuse through other staff members, family members, attorneys or outside advocates. If a grievance was submitted which alleged a staff member, it is treated as an emergency request and processed as a PREA compliant. This would never be referred to the staff member who is

alleged in a grievance, as the investigative policy and procedures would be in affect and separation of alleged aggressor/staff member and prisoner would occur.

IPPM Policy requires that emergency grievances which allege sexual abuse be addressed within five calendar days.

AKDOC IPPM for 808.03 indicates that third parties, including other prisoners, staff members, family members, attorneys and outside advocates, shall be permitted to assist prisoners in filling request for administrative remedies relating to allegations of sexual abuse and shall also be permitted to file such requests on behalf of prisoners. The request will be filed as an emergency grievance and filed as a PREA complaint. If the inmate declines to have third-part assistance in filing a grievance alleging sexual abuse, the facility will document this declination in an incident report. However, the investigation will proceed with all available evidence.

Alaska Department of Corrections Policy 808.03 does include emergency grievance processes which include an inmate who is subject to a substantial risk of imminent sexual abuse. Furthermore, the Investigations Policy 808.20 addresses the requirement to issue a decision within 5 calendar days.

AKDOC policy 808.03 covers Grievance system abuse when an inmate files frivolous or repetitious grievances or false statements. However, grievances that are filed alleging sexual abuse, automatically become a PREA case and if the grievance is determined to be a false report of sexual abuse, sexual harassment or staff sexual misconduct the inmate may be held accountable. Furthermore, no prisoner shall receive an incident report for making a false report based solely on the fact that their allegations could not be substantiated.

While the interim policy for inmate grievances regarding sexual abuse meets the requirements of this standard, inmates need to be aware of this process.

Corrective Action Plan: Information on grievances regarding sexual abuse should be added to the inmate handbooks and included in the PREA orientation.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation on October 15, 2019 to evidence and demonstrate corrective action taken by the Mat-Su Pre-Trial Facility Center regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Inmate Handbook

Mat-Su Pre-Trial Facility revised its Inmate Handbook to incorporate language per this PREA standard. This Standard is now fully compliant.

Standard 115.53: Inmate access to outside confidential support services

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.53 (a)

- Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? Yes No
- Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? Yes No
- Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible? Yes No

115.53 (b)

- Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws? Yes No

115.53 (c)

- Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse? Yes No
- Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Per AKDOC policy 808.19, Mat-Su Pre-Trial Facility makes available to the victim a victim advocate from a rape crisis center. The Inmate Handbook provides the phone number for

Standing Together Against Rape (STAR). STAR has been contacted and will provide services but indicated they were not able to sign a MOU. STAR is the primary responder with the AST for all investigations of sexual assault. AST will also utilize other local victim advocates based on the location of the incident. A STAR advocate would respond to the hospital to assist resident victims of Mat-Su Pre-Trial Facility during a forensic exam. AKDOC also has an MOU with Alaska Family Services for Victim Advocate Services. Inmates have not been provided contact information for Alaska Family Services. Inmates are not informed to the extent to which communications with providers will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.

All victims of sexual abuse are referred to the mental health clinicians at the facility for counseling. The victims will be offered a referral to an outside mental health provider if requested. All consults with the mental health clinician are documented in the electronic health records. Mat-SU Pre-Trial Facility does not house persons detained solely for civil immigration

Corrective Action Plan:

Provide inmates with contact information for all rape crisis providers and the extent to which such communications will be monitored and which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.

Verification of Corrective Action since the on-site Audit:

The Auditor was not provided with any supplemental documentation to evidence and demonstrate corrective action taken by the Mat-Su Pre-Trial Facility regarding this Standard. This Standard remains non-compliant.

Standard 115.54: Third-party reporting

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.54 (a)

- Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment? Yes No
- Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, PREA posters and the AKDOC website were reviewed and address the requirements of this standard. There is a PREA confidential hotline number that anyone can call to report a potential PREA issue. This information is available on all the PREA posters that are posted in all the housing units and the lobbies of both buildings. The AKDOC website also has information regarding third-party reporting.

The review of policy, AKDOC website, PREA posters, supporting documentation and interview with the PCM demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

OFFICIAL RESPONSE FOLLOWING AN INMATE REPORT

Standard 115.61: Staff and agency reporting duties

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.61 (a)

- Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? Yes No
- Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment? Yes No
- Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation? Yes No

115.61 (b)

- Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? Yes No

115.61 (c)

- Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section? Yes No
- Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services? Yes No

115.61 (d)

- If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws? Yes No

115.61 (e)

- Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC Policy 808.19, AKDOC Policy 202.15 – Standards of Conduct, the AKDOC Employee Code of Ethical Professional Conduct and PREA training curriculum were reviewed and address the requirements of this standard. AKDOC policy 808.19 requires all staff to report immediately any information they receive or upon reasonable belief to suspect a sexual victimization has occurred, shall report to their appropriate supervisory staff. Sexual victimization is defined as, to make a victim of sexual abuse or sexual harassment, which includes through retaliation, physical or mental. The policy doesn't specifically address the requirement for staff to immediately report violations of responsibilities that may have contributed to an incident or relation. However, the employee Code of Ethical Professional Conduct requires all staff to report any corrupt or unethical behavior of other staff. AKDOC Policy 202.15 requires staff to immediately report any knowledge of criminal activity or unethical action.

Interviews with staff verified staff were aware they must immediately report to the facility's designated staff any knowledge, suspicion, or information regarding an incident of sexual abuse or harassment; any retaliation and that staff does not reveal information related to a sexual abuse report other than to people authorize to discuss the report.

The review of policies, documentation, the training curriculum and interviews with staff demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

Standard 115.62: Agency protection duties

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.62 (a)

- When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC Policy 808.19 and the PREA training curriculum were reviewed and address the requirements of this standard. Policy and training require staff to take immediate action to protect any inmate they learn is subject to substantial risk of imminent sexual abuse. Interviews with staff demonstrate they know the steps to take to protect an Inmate subject to risk of imminent sexual abuse. Security personnel immediately employ protection measures as the information is passed to the appropriate supervisor. Per interview with the Superintendent, immediate action includes separation; monitoring; changing the housing and/or work assignments; and placing the abuser in another facility or requesting a transfer. No inmates reported being at substantial risk of imminent sexual abuse, during the past 12 months.

The review of the policies and the training curriculum and interviews with the Superintendent and staff demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

Standard 115.63: Reporting to other confinement facilities

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.63 (a)

- Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred? Yes No

115.63 (b)

- Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation? Yes No

115.63 (c)

- Does the agency document that it has provided such notification? Yes No

115.63 (d)

- Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC policy 808.20 and policy 104.01 Special Incident Report were reviewed and addresses the requirement of this standard. The policy requires that upon receiving an allegation that a prisoner was sexually abused while confined at another institution, the Superintendent or designed of the receiving institution must notify the superintendent of the institution that the allegation is reported to have occurred at. This notification must occur within 72 hours after receiving an allegation. The facility in which the alleged victim reports to, will be responsible for the PREA case assignment. The facility that the alleged abuse occurred at will assist with the investigation.

There were two allegations reported that an inmate was sexually abused while confined at another institution in the past 12 months. Timely notification was made and the allegations were investigated. In the past 12 months there were zero instances where a prisoner housed at another correctional facility alleged sexual abuse while at the Mat-Su Pre-Trial Facility.

The review of the policies, PAQ and interviews with the Superintendent and PCM demonstrated Mat-Su Pre-Trial Facility is compliant with this standard

Standard 115.64: Staff first responder duties

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.64 (a)

- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser? Yes No
- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence? Yes No
- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? Yes No
- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? Yes No

115.64 (b)

- If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P, 808.19, AKDOC P&P 808.20, the PREA Crime Scene Checklist and the PREA training curriculum were reviewed and address the requirements of this standard. The policy directs how to respond to an allegation of sexual abuse for both security and non-security staff. Random interviews with security and non-security staff confirmed they were very knowledgeable about what to do upon learning an inmate was sexually abused, to include separating the alleged victim and abuser and to preserving and protecting the crime scene. If the abuse occurred within a time period that still allows for the collection of physical evidence, staff would request that the alleged victim and alleged abuser not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing their teeth, changing clothes, urinating, defecating, smoking, drinking or eating. Staff has also been issued PREA

responder pocket cards. In the past 12 months, there were no allegations that required first responder action.

Review of policies, Checklist, PREA training curriculum and interviews with staff confirms Mat-Su Pre-Trial Facility is compliant with this standard.

Standard 115.65: Coordinated response

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.65 (a)

- Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Mat-Su Pre-Trial Facility has developed a written facility plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, Shift Supervisors, Investigator, medical and mental health practitioners and facility leadership. The Coordinated Response Plan is comprehensive in describing required actions by security and specialized staff in the form of a checklist. Interviews with the Superintendent, Shift Supervisors, first responders, medical/mental health, and investigators confirmed staff members were knowledgeable about the Response Plan and their specific responsibilities as it relate to responding to sexual abuse allegations and their coordinated duties and collaborative responsibilities. Review of the PREA lesson plan further support staff are trained on responding to a PREA allegation.

Compliance with this standard was determined through the review of the Coordinated Response Plan procedure, PREA training, and interviews with staff.

Standard 115.66: Preservation of ability to protect inmates from contact with abusers

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.66 (a)

- Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? Yes No

115.66 (b)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

The State of Alaska when entering into a collective bargaining contract with employees has not limited the ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation. The State of Alaska has four separate contracts which covers; 1) Correctional officers, 2) maintenance personnel, 3) support staff and 4) supervisors.

Compliance with this standard was determined through the review of the collective bargaining contracts and interviews with the Agency Director, Superintendent and PREA Coordinator.

Standard 115.67: Agency protection against retaliation

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.67 (a)

- Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff? Yes No
- Has the agency designated which staff members or departments are charged with monitoring retaliation? Yes No

115.67 (b)

- Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with

victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations? Yes No

115.67 (c)

- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff? Yes No
- Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need? Yes No

115.67 (d)

- In the case of inmates, does such monitoring also include periodic status checks?
 Yes No

115.67 (e)

- If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?
 Yes No

115.67 (f)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.20 requires the institutional PCM or designee to monitor for retaliation for all prisoners and staff who report sexual abuse or harassment. Policy states that monitoring will occur for up to 90 days and that the 90 days may be extended if needed. A form was created to track monitoring and is included with the policy as attachment F. Per the policy, the tracking of monitoring efforts must be recorded on the PREA Retaliation Report.

The facility did not provide documentation that retaliation monitoring was being conducted and recorded per policy. Per the PAQ, in the past 12 months there have been zero cases of retaliation.

Corrective Action Plan:

Implement the retaliation monitoring procedures per policy 808.20. Provide training to the PCM of required duties. Provide completed PREA Retaliation Reports documenting monitoring efforts.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by the Mat-Su Pre-Trial Facility regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. PREA Investigative report dated 8/27/2019
2. PREA Retaliation Report

The Mat-Su Pre-Trial Facility implemented the retaliation monitoring procedures per policy 808.20. There was on allegation during the corrective action period. The facility provided

documentation that retaliation monitoring was completed and documented. This Standard is now fully compliant.

Standard 115.68: Post-allegation protective custody

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.68 (a)

- Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 804.01 allows staff to place an inmate who is alleged to have suffered sexual abuse in administrative segregation. The emergency placement shall not exceed 24 hours, unless justified by the Superintendent in writing. The policy requires that an inmate's access to visitation, mail, telephone, recreation, library and programs can only be restricted if done by an individualized determination and only if the inmate's participation threatens the order and security of the facility. If such a determination is made, a Superintendent must review the determination every 30 days along with their findings of facts in justification of such a restriction. The policy addresses the duration of the limitation and the reason for limitations. Ordinarily this would not exceed 30 days and would only be necessary for safety and security and until other arrangements could be met, such as a transfer of the alleged aggressor or completion of an investigation.

The policy requires review of the determination every 30 days. The occurrence of an involuntary segregation of a victim of sexual abuse would be extremely rare. If an alleged victim requests protection, the request would be allowable under the policy. It is the AKDOC's practice that this involuntary segregation doesn't occur, as separation of the victim and aggressor can be addressed in many ways.

If in the event that a victim was ever involuntary segregated due to a sexual abuse, Policy 804.01 requires that documentation for Individual Determination Restrictions be documented and justified with regular 30 day reviews. In the past 12 months there were no inmates who alleged to have suffered from sexual abuse that was held in involuntary segregated housing.

Review of policy, documentation and interviews with staff and the Superintendent demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

INVESTIGATIONS

Standard 115.71: Criminal and administrative agency investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.71 (a)

- When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? [N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).] Yes No NA
- Does the agency conduct such investigations for all allegations, including third party and anonymous reports? [N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).] Yes No NA

115.71 (b)

- Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34? Yes No

115.71 (c)

- Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data? Yes No
- Do investigators interview alleged victims, suspected perpetrators, and witnesses?
 Yes No
- Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator? Yes No

115.71 (d)

- When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? Yes No

115.71 (e)

- Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff? Yes No
- Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding? Yes No

115.71 (f)

- Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse? Yes No
- Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings? Yes No

115.71 (g)

- Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible? Yes No

115.71 (h)

- Are all substantiated allegations of conduct that appears to be criminal referred for prosecution? Yes No

115.71 (i)

- Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years? Yes No

115.71 (j)

- Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation? Yes No

115.71 (k)

- Auditor is not required to audit this provision.

115.71 (l)

- When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

The AKDOC is responsible for conducting administrative investigations regarding sexual abuse and sexual harassment. Every PREA allegation is vetted by the AKDOC PREA coordinator for case assignment. The AKDOC P&P 808.19 requires all cases involving sexual abuse or staff sexual misconduct to be referred to the Alaska State Troopers for investigation. In addition, AKDOC P&P 808.20 PREA Investigations requires that all cases involving sexual abuse that rise to the definitions of Alaska law under Sexual Assault I-IV, shall be referred immediately to the AST for investigation. AST is responsible for investigating any unclassified or Class A felonies. This relates to the Alaska offense of Sexual Assault in the First Degree. Offenses of Sexual Assault in the Second through Fourth Degree fall under the investigative authority of the AKDOC. The Alaska State Troopers are the responding agency that conducts all criminal investigation for prisoners. Any substantiated allegation would be forwarded to them for investigation. They would then refer the case for prosecution.

There were four allegations of sexual abuse/harassment reported by inmates in the past 12 months. There were three allegations of inmate on inmate sexual abuse. Two of the allegations reported took place at other AKDOC facilities. Of these two cases one was found to be unsubstantiated and the one is still and being investigated. The allegation that took place at the facility was found to be unsubstantiated. There was one staff on inmate sexual harassment allegation that was substantiated.

Investigators stated that they collect the appropriate direct and circumstantial evidence, reviews the video tapes, interviews the alleged victim, suspected perpetrators, and witnesses. Review prior complaints and reports of sexual abuse involving the suspected perpetrator. All investigations are documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. The Investigators also stated that the credibility of the victim, suspect, or witness is always assessed on an individual basis. Polygraphs are not used in PREA investigations. The investigator provided documentation of annual PREA training and specialized training for PREA investigators.

The review of policies, investigative files, documentation and interviews with an AST investigator and Facility investigator demonstrated compliance with this standard.

Standard 115.72: Evidentiary standard for administrative investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.72 (a)

- Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Neither AKDOC P&P 808.20 nor 808.19 specifically address the standard of a preponderance of evidence when making determinations. However, AKDOC's policy and practice surrounding this standard is defined in the Disciplinary Committee Hearing Officers and Basic Operations, Policy AKDOC policy 809.04. While the PREA policy is not specific in making this inference, it is a trained standard when making a closing summary for an investigation regarding PREA incidents. This is a trained standard and is represented in all of our Departments judgments when making findings whether PREA or Discipline related. Per interviews with the investigators, they confirmed they consider the preponderance of the evidence as the evidentiary standard consistent with this standard.

Mat-Su Pre-Trial Facility is compliant with this standard. It is recommended that AKDOC P&P 808.20 and 808.19 be revised to include the language that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.

Standard 115.73: Reporting to inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.73 (a)

- Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded? Yes No

115.73 (b)

- If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.) Yes No NA

115.73 (c)

- Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The staff member is no longer posted within the inmate's unit? Yes No
- Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The staff member is no longer employed at the facility? Yes No
- Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility? Yes No
- Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? Yes No

115.73 (d)

- Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility? Yes No
- Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility? Yes No

115.73 (e)

- Does the agency document all such notifications or attempted notifications? Yes No

115.73 (f)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.20 requires that every victim be informed of the results of the investigation with the findings of substantiated, unsubstantiated or unfounded. The auditor reviewed one case in which written documentation was provided to the alleged victim indicating the status of the case. Currently, the practice for closing a case and making a finding has changed and the investigators are now required to fill out a PREA Case finding/closure form. This form requires the staff member who provided the results of the investigation to the victim to annotate the delivery method and date. In the last 12 months there have been 2 investigations of alleged sexual abuse completed at this facility. The findings were reported to the prisoners unless prisoners were released before the investigation was completed. If the investigation was turned over to AST, they would contact the facility or the inmate to inform them of the status. As the Mat-Su is a pre-trial facility, most prisoners get released before the completion of the investigation.

AKDOC P&P 808.20 requires the institutions to document and inform a prisoner when staff members are no longer posted within the prisoners unit, when a staff member is no longer employed at the institution and if a staff member has been indicted or convicted on a charge related to sexual abuse. Following a prisoner's allegation that they have been sexually abused by another prisoner, the institution must document and inform the prisoner whenever the institution learns that the alleged abuser has been indicted or convicted on a charge related to sexual abuse. There have been no cases during the past 12 months that fall into these categories of notification. Therefore, no documentation was available to review.

Per policy, investigations that are referred to the AST and / or District Attorney's (DA) office will receive follow-up from the institution's PCM every 30 days to verify the status of the cases. Cases which are accepted for prosecution will also receive follow-up with the DA's Office as to the case's progression and defendant's conviction or acquittal.

The review of policies, investigative files, documentation and interview with the Facility investigator demonstrated compliance with this standard.

DISCIPLINE

Standard 115.76: Disciplinary sanctions for staff

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.76 (a)

- Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies? Yes No

115.76 (b)

- Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse? Yes No

115.76 (c)

- Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? Yes No

115.76 (d)

- Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)? Yes No
- Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and AKDOC P&P 202.15 were reviewed. AKDOC P&P 808.19 states that any employee determined to have engaged in sexual misconduct with a prisoner shall be subject to discipline consistent with the employee's standards of conduct and / or collective bargaining agreement. Neither policy specifically states that discipline can be up to and including termination for violating agency sexual abuse or sexual harassment nor that termination is the presumptive disciplinary sanction for staff who has engaged in sexual abuse.

In the past 12 months there has been one staff member who has violated these policies. There have also been zero staff members who have been terminated or resigned in lieu of termination in the last 12 months

Corrective Action Plan: Revise policies to include language regarding termination as specified in this standard.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation to evidence and demonstrate corrective action taken by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting

AKDOC P&P 808.19 that was revised on 10/17/2019 now includes language that states "Any staff member determined to have engaged in sexual misconduct with a prisoner shall be subject to discipline sanctions up to and including termination for violating Department policies. Termination shall be the presumptive disciplinary sanction for staff who have engaged in sexual abuse. Any relevant licensing body for staff shall be contacted and informed of the actions." This Standard is now fully compliant.

Standard 115.77: Corrective action for contractors and volunteers

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.77 (a)

- Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates? Yes No
- Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)? Yes No
- Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies? Yes No

115.77 (b)

- In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC P&P 202.01 and the Employee and Volunteer Code of Professional Conduct were reviewed and address the requirements of this standard. A contractor or a volunteer will be prohibited from the building pending the investigation finding if they are caught having sexual relations with prisoners. When a volunteer or contractor is approved they sign an acknowledgement of understanding the Volunteer Code of Professional Ethics.

During the past 12 months, there were no incidents where a contractor or volunteer was accused or found guilty of sexual abuse or sexual harassment. Interviews with a contractor and volunteer indicated they were aware of the consequences for violating the PREA policy.

Compliance with this standard was determined by a review of policy, volunteer/contractor training files and acknowledgements and interviews with the Superintendent and PCM.

Standard 115.78: Disciplinary sanctions for inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.78 (a)

- Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process? Yes No

115.78 (b)

- Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories? Yes No

115.78 (c)

- When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior? Yes No

115.78 (d)

- If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits? Yes No

115.78 (e)

- Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact? Yes No

115.78 (f)

- For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation? Yes No

115.78 (g)

- Does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)
 Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC P&P 809.02 – Prisoner Rules on Discipline, and AKDOC P&P 809.04 – Disciplinary Committee, Hearing Officers and Basic Operations were reviewed and address the requirements of this standard. Policy 808.19 states that any prisoner alleged of sexual abuse, sexual harassment or sexual misconduct shall be subject to the department's disciplinary process regardless of the outcome of the law enforcement investigation. Inmates are not disciplined for a report of sexual abuse made in good faith.

Mat-Su Pre-Trial Facility does offer counseling through the Mental health clinician to address and correct underlying reason for abuse. Participation in the counseling sessions is not mandatory

Policy 808.19 states, a prisoner engaging in sexual misconduct with an employee may be subject to discipline contingent upon the particular facts and consistent with the offender rules of conduct.

Policies prohibit all sexual activity between inmates. Policy 808.19, defines what is considered a sexual act for Sexual Abuse. AKDOC Policy 808.19 relates that this is not applicable if the victim does not consent or is coerced into such acts. Therefore, a non-consenting inmate would not be punished if it was found that they were coerced into the act.

In the last 12 months there have been zero administrative or criminal findings of guilt for inmate on inmate sexual abuse.

Review of policies, documentation and interviews with the Superintendent and Lieutenant demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

MEDICAL AND MENTAL CARE

Standard 115.81: Medical and mental health screenings; history of sexual abuse

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.81 (a)

- If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)
 Yes No NA

115.81 (b)

- If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.) Yes No NA

115.81 (c)

- If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? Yes No

115.81 (d)

- Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law? Yes No

115.81 (e)

- Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC P&P 807.02 – Access to Health Care services, AKDOC P&P 807.08 – Informed Consent and Refusal of Services and AKDOC P&P 807.08 – Mental health Administration and Services were reviewed and address the requirements of this standard. Policy 808.19 states, “If the PREA Risk Assessment Form indicates the prisoner has experienced prior sexual misconduct while incarcerated, the prisoner shall be offered a follow-up meeting with mental health staff for further evaluation within 14 days of screening.” The current policy does not include language for referrals if the sexual victimization took place in the community or language to include referrals for inmates who previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community. Medical and mental health staff maintains secondary materials documenting compliance with this requirement. This facility is a jail and follow-up meetings with mental health for an inmate has previously perpetrated sexual abuse, is not completed or tracked

Medical and mental health personnel do obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting. Interviews with medical and mental health staff confirm awareness of the PREA requirements. Information related to sexual victimization or abusiveness is limited to medical

and mental health practitioners and other staff with a need-to-know for the purpose of treatment plans, security, housing, work and program assignments and management decisions.

Corrective Action Plan:

The draft of Policy 808.19 needs to be finalized and implemented as it contains language that addresses the requirements of this standard. The risk screening tool must also be revised to correspond with the requirements of this standard.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation on October 24, 2019 to evidence and demonstrate corrective action taken by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy & Procedure 808.19- Sexual Abuse/Sexual Assault and Reporting
2. Memo for State PREA Coordinator dated 10/24/2019
3. Revise PREA Risk Assessment form

AKDOC revised its PREA Policy and Procedure 808.19 which has been finalized on October 17, 2019 and is now implemented. Policy 808.19 addresses the requirement of 115.81(a) with an inmate who has experienced prior sexual victimization being offered a follow up meeting with medical or mental health if they have prior sexual victimization, whether it occurred in an institution or community. This requirement is addressed on page 6 Section IV D, of the policy.

The requirement of 115.81(b) is addressed within the same section on page 6, section IV D in attachment A requiring that If during the PREA risk assessment process the prisoner indicates or admits to having experienced prior sexual victimization or has previously perpetrated sexual abuse while incarcerated or while in the community, they shall be offered a follow-up with mental health staff for further evaluation within 14 days of the screening.

115.81(c) references the same requirement in 115.81 (a) with the concentration being on a jail inmate. While most facilities in the state of Alaska are considered a prison, some facilities may qualify as a jail. However, there is no differentiation in policy between a jail or prison inmate. Policy language in 808.19, page 6, section IV D will address these requirements as well.

The PREA Risk Screening tool has been modified so that these requirements are reiterated. (Attachment B of the policy). The revised policy now includes the requirements of this standard, but since it was just implemented the facility did not provide documentation that it used the revised screening tool or offered follow up meeting with medical or mental health staff during the corrective action period. This Standard remains as non-compliant.

Standard 115.82: Access to emergency medical and mental health services

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.82 (a)

- Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?
 Yes No

115.82 (b)

- If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62? Yes No
- Do security staff first responders immediately notify the appropriate medical and mental health practitioners? Yes No

115.82 (c)

- Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate? Yes No

115.82 (d)

- Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?
 Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and Draft P&P 808.19 were reviewed and address the requirements of this standard. The policies ensure inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners, according to their professional judgment. Medical and mental health maintains secondary materials and the

information is kept in the Electronic Health Records (EHR). Only medical staff has access to these records. The documentation includes the timeliness of emergency medical treatment that was provided and information about and timely access to emergency contraception and sexually transmitted infections prophylaxis. The medical department is staffed from 6 am to 4:15 am daily. Mental health staff are on-call after normal business hours. Forensic exams and crisis intervention services are provided off- site. Emergency medical and mental health services are provided to every victim of sexual abuse without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising from the incident. Interviews with medical and mental health confirmed services are being provided as required by this standard.

Review of policies, documentation and interviews with medical and mental health staff demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

Standard 115.83: Ongoing medical and mental health care for sexual abuse victims and abusers

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.83 (a)

- Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility? Yes No

115.83 (b)

- Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody? Yes No

115.83 (c)

- Does the facility provide such victims with medical and mental health services consistent with the community level of care? Yes No

115.83 (d)

- Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if all-male facility.) Yes No NA

115.83 (e)

- If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if all-male facility.) Yes No NA

115.83 (f)

- Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate? Yes No

115.83 (g)

- Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? Yes No

115.83 (h)

- If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)
 Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19, AKDOC Draft P&P 808.19 AKDOC P&P 807.02, and AKDOC P&P 807.08 were reviewed and address the requirements of this standard. Mat-Su Pre-Trial Facility offers medical and mental health evaluation to all inmates who have been victimized by sexual abuse in prison, jail, lockup, or a juvenile facility. Mat-Su nursing staff completes the initial PREA risk assessments. All alleged victims are asked if they want to speak to mental health services and referral are made electronically and by paper forms.

Female prisoners are offered pregnancy tests. If a test is positive then the prisoner shall receive timely and comprehensive information and access to medical services. Prisoners at this facility are offered tests for sexually transmitted infections. These tests are free of charge to all prisoner population at Mat-Su Pre-Trial Facility.

Review of policies, documentation and interviews with medical and mental health staff demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

DATA COLLECTION AND REVIEW

Standard 115.86: Sexual abuse incident reviews

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.86 (a)

- Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded? Yes No

115.86 (b)

- Does such review ordinarily occur within 30 days of the conclusion of the investigation? Yes No

115.86 (c)

- Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners? Yes No

115.86 (d)

- Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse? Yes No
- Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? Yes No
- Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse? Yes No
- Does the review team: Assess the adequacy of staffing levels in that area during different shifts? Yes No
- Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff? Yes No
- Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1) - (d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager? Yes No

115.86 (e)

- Does the facility implement the recommendations for improvement, or document its reasons for not doing so? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 does not address this issue and sexual abuse reviews were not completed. The draft policy 808.19 includes a section on sexual abuse reviews and states: “1. The institution shall conduct a Sexual Abuse Review at the end of every sexual abuse investigation, both criminal and administrative, substantiated or unsubstantiated, unless the allegation was determined to be unfounded. The review shall be recorded on the Sexual Abuse Incident Review Form.

2. Members of the review team shall include members of the institution’s management and the PREA Compliance manager, with input from line supervisors, investigators and medical or mental health practitioners.

3. The Sexual Abuse Incident Review Form shall be forwarded to the Department’s PREA Coordinator for tracking and data collection.

4. The Superintendent shall implement any recommendations for improvement or shall justify the reasons for not being able to follow the recommendation.”

Corrective Action Plan: Finalize and implement policy 809.19.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting

AKDOC revised its P&P 809.19 on 10/17/2019 to include the requirement to complete Sexual Abuse Incident Reviews at the end of every sexual abuse investigation, both criminal and administrative, substantiated or unsubstantiated, unless the allegation was determined to be unfounded. As this provision and requirement was just added the facility did not complete any reviews during the audit or corrective action period. There were two inmate on inmate sexual abuse investigations, one was substantiated and one was unsubstantiated during the audit or

corrective action period, which should have had a review completed. This Standard remains non-compliant.

Standard 115.87: Data collection

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.87 (a)

- Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions? Yes No

115.87 (b)

- Does the agency aggregate the incident-based sexual abuse data at least annually? Yes No

115.87 (c)

- Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice? Yes No

115.87 (d)

- Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews? Yes No

115.87 (e)

- Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.) Yes No NA

115.87 (f)

- Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)

Does Not Meet Standard (*Requires Corrective Action*)

AKDOC P&P 808.19 and the AKDOC 2017 Annual PREA Reports were reviewed. Policy require the collection of uniform data that provides the minimum data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice. The AKDOC utilizes an instrument that is reflected in a data base the PREA Coordinator has access over. This data base stores all data and information for all sexual abuse/sexual harassment cases for the Department.

The AKDOC completes an annual report of aggregated incident based sexual abuse data. The annual report does not included incident-based and aggregated data from private facility with which it contracts for the confinement of its inmates. The Departments website contains links to this report at:

<http://www.correct.state.ak.us/prea/annual-reports/2017%20Annual%20PREA%20Report.pdf>

The tool/database contains the data necessary to respond to the demographics information of the victims and aggressors. This includes data related to offense location, time and date. This tool/database in conjunction with the offender management system of the Alaska Corrections Offender Management system (ACOMS) can provide other data requirements, such as disciplinary sanctions. The AKDOC's tool/database stores all of the incident based data that is received regarding any reported sexual abuse/harassment case within the Department. The AKDOC utilizes an instrument that is reflected in a data base the PREA Coordinator has access over. This data base stores all data and information for all sexual abuse/sexual harassment cases for the Department. The AKDOC doesn't currently conduct Sexual Abuse Reviews. In order to provide detailed information regarding sexual abuse data, the need for sexual abuse reviews must occur. DOC recognizes this need and will establish policy and procedure to address the missing components of data.

The AKDOC contracts for the confinement of pretrial offenders through various small city jails throughout the state of Alaska. In order to help the monitoring of contracts and with collection of data, the AKDOC maintains a separate database/tool for all contracted facilities. This data base mirrors the database for State Prisons.

Corrective Action Plan:

Finalize and implement policy 809.19. Revise the Annual PREA report to include incident-based and aggregated data from private facilities.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting
2. 2018 Annual PREA Report

AKDOC revised P&P 809.19 on 10/17/2019 to include the requirements of this standard. The 2018 Annual PREA Report was revised to include incident-based and aggregated data from private facilities. This Standard is now fully compliant.

Standard 115.88: Data review for corrective action

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.88 (a)

- Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas? Yes No
- Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis? Yes No
- Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? Yes No

115.88 (b)

- Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse Yes No

115.88 (c)

- Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means? Yes No

115.88 (d)

- Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)

- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

AKDOC P&P 808.19 and the AKDOC Annual PREA Reports were reviewed.. The policy requires the PREA Coordinator to maintain, review and collect data from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews for every allegation of sexual abuse and sexual harassment. AKDOC prepares and publishes an annual report.

The Department evaluates all cases for areas of improvement. An annual report is completed yearly. However, corrective actions for each facility, is not currently addressed in the report, due to the need for Sexual Abuse Reviews. The annual report does make comparisons of the year's data and makes an assessment of the agency's progress in addressing sexual abuse.

The annual report was approved by the Commissioner and is available for the public on the AKDOC's web site. The AKDOC's current yearly reports don't have any redacted information. No details of any incident are included in the reports. If the AKDOC were to utilize an incident to demonstrate or to discuss deficiencies or the need for improved, all pertinent information pertaining to identifiers would be redacted and the report would indicated that information had been redacted for privacy/confidentiality concerns.

Corrective Action Plan:

Finalize and implement policy 809.19.

Verification of Corrective Action since the on-site Audit:

The Auditor was provided supplemental documentation by AKDOC regarding this Standard. This documentation is discussed below.

Additional Documentation Reviewed:

1. Revised Policy 808.19- Sexual Abuse/Sexual Assault and Reporting

AKDOC revised its P&P 809.19 on 10/17/2019 to include the requirement to complete Sexual Abuse Incident Reviews at the end of every sexual abuse investigation, both criminal and administrative, substantiated or unsubstantiated, unless the allegation was determined to be unfounded. As this provision and requirement was just added the Annual PREA Report for 2017 posted on the Agency website nor the 2018 Annual PREA Report includes the required information per this standard. The State PREA Coordinator indicated the 2019 annual report will include the information, procedure changes and other data gathered in those reviews. This Standard remains non-compliant.

Standard 115.89: Data storage, publication, and destruction

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.89 (a)

- Does the agency ensure that data collected pursuant to § 115.87 are securely retained?
 Yes No

115.89 (b)

- Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means? Yes No

115.89 (c)

- Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available? Yes No

115.89 (d)

- Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

All PREA information is considered confidential. Therefore, limited access is allowed to this information. For information retained and pertaining to 115.87, the AKDOC PREA Coordinator is the only staff member with access to this information. This information is kept in a secure computer network and storage system. Individual filing years for the Survey of Sexual violence is also kept on the AKDOC PREA Coordinator's computer, which is backed within a secure server for the AKDOC. The AKDOC retains all sexual abuse data collected pursuant to 115.87 for 10 years. Sexual abuse data can be found on the AKDOC web site. AKDOC retains all sexual abuse data collected pursuant to 15.87 for 10 years.

The review of policy, supporting documentation and interview with the AKDOC PREA Coordinator demonstrated Mat-Su Pre-Trial Facility is compliant with this standard.

AUDITING AND CORRECTIVE ACTION

Standard 115.401: Frequency and scope of audits

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.401 (a)

- During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (*Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.*) Yes No

115.401 (b)

- Is this the first year of the current audit cycle? (*Note: a "no" response does not impact overall compliance with this standard.*) Yes No
- If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is **not** the *second* year of the current audit cycle.) Yes No NA
- If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is **not** the *third* year of the current audit cycle.) Yes No NA

115.401 (h)

- Did the auditor have access to, and the ability to observe, all areas of the audited facility? Yes No

115.401 (i)

- Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)? Yes No

115.401 (m)

- Was the auditor permitted to conduct private interviews with inmates, residents, and detainees? Yes No

115.401 (n)

- Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

During the prior three-year audit period, the agency did not ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once. This is the first PREA audit of the Mat-Su Pre-Trial Facility.

The auditor was given access to and an opportunity to tour and visit all areas of the facility. The auditor was provided with an office that ensured privacy in conducting interviews with inmates and staff during the site visit. Notice of PREA audit was posted on April 25, 2019. No inmates contacted the auditor prior to the audit.

Standard 115.403: Audit contents and findings

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.403 (f)

- The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports within 90 days of issuance by auditor. The review period is for prior audits completed during the past three years PRECEDING THIS AGENCY AUDIT. In the case of single facility agencies, the auditor shall ensure that the facility's last audit report was published. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or in the case of single facility agencies that there has never been a Final Audit Report issued.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Review of AKDOC’s website at <http://www.correct.state.ak.us/prison-rape-elimination-act> confirms that the agency ensures that the auditor’s final report is published on the agency’s website. The AKDOC website has PREA audit reports posted for 10 institutions and contracted facilities.

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Auditor Instructions:

David Andraska

1/31/2020

Auditor Signature

Date