**POLICIES & PROCEDURES**

**ATTACHMENTS / FORMS:**
(A.) Field Clearance Conditional Carry Memo.
(B.) DP3 Field Observation Report Form.
(C.) Field Clearance Approval Request Memo.

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**POLICY:**

It is the policy of the Department of Corrections (DOC) to have formalized set standards in order to verify and clear newly appointed Probation Officers (PO) or PO’s returning to a field office to conduct fieldwork in the community. These standards apply to both Probation Officers who do and do not carry a firearm in performance of their duties.

**APPLICATION:**

This policy and procedure will apply to all Division of Pretrial, Probation and Parole (DP3) employees.

**DEFINITIONS:**

As used in the policy, the following definitions apply:

**Conductive Electrical Weapons (CEW):**
A weapon which uses probes or direct contact to conduct electrical energy into a person’s body in order to affect the sensory and motor functions of the nervous system. For example: A Taser.

**DP3 Field Observation Report (FOR) Form:**
A report form used by DP3 staff to document the performance of a trainee in certain aspects of their duties.

**Oleoresin Capsicum (OC) Spray:**
An inflammatory control agent used in aerosol form to control angry, aggressive, and/or upset individuals or crowds.

**Trainee:**
A trainee is a newly hired Probation Officer or an established Probation Officer who is returning to field office duties.
PROCEDURES:

Prerequisites:

I. A. In order to progress to demonstrating the knowledge, skills and abilities needed to complete the field clearance process, trainees must have the following prerequisites:

1. Demonstrate an understanding of the Department’s prescribed method for dealing with offenders who resist, as defined DOC P&P 1207.01, Response To Resistance either as part of the Department of Corrections Training Academy or to a supervisor/designee.

2. Review and demonstrate an understanding of chapters in the Adult Probation Officer Field Training Manual to a supervisor/designee specific to PO field work. Chapters may include topics such as officer safety and search seizure procedures.

3. Attend a verifiable DOC-approved defensive tactic training course that shall include the following: weapon retention, handcuffing and searching.

4. If utilized by the department, become certified to carry Oleoresin Capsicum (OC) and/or the use of a Conductive Electrical Weapon (CEW or “Taser”).

5. Complete the Department of Corrections Training Academy or courses to include: Legal Issues, Field Basics, Drug Recognition, Officer Survival, Offender Set Up, and Effective Communication.

B. In addition to the above listed prerequisites, the trainees wishing to gain a field clearance to carry firearms must also the following in place:

1. Successfully complete and qualify in a DOC-approved Semi-Auto Pistol Course.

2. Successfully pass the DOC approved judgmental shooting simulator.

3. Once qualifications are met, obtain a conditional 45-60 day authorization to carry a firearm in the field from the Director of Pretrial, Probation and Parole or their designee, via the Field Clearance Conditional Carry Memo (Attachment A).

II. Field Clearance Procedures And Standards:

A. Elements of the field clearance process will include offender contact. Guidelines are below:
1. The trainee must successfully demonstrate the acceptable knowledge, skills, and abilities needed to safely contact (armed if qualified) a MINIMUM of four (4) offenders at their reported residences. These successful contacts must be observed, evaluated, and documented by a supervisor/designee. An immediate verbal debrief shall be completed with the trainee following each field contact. The contacts and written documentation shall be completed within five (5) business days and provided to the trainee and their respective supervisor for inclusion into the Supervisory File.

2. It is recommended that at least two (2) offender contacts be conducted outside of standard business hours (Monday through Friday, 8:00am to 4:30pm).

3. Of the four (4) offender contacts, the trainee should make three (3) of them as the ‘Lead Contact Officer’. In this capacity they must demonstrate an ability to organize the fieldwork, give clear direction to other officers, make safe decisions, and control and professionally communicate with the people of the household.

4. Of the four (4) offender contacts, the trainee should make (1) of them as the ‘Cover Officer’. In this capacity they must demonstrate an ability to search the residence, gather evidence, respond appropriately to violations, and provide appropriate cover to the lead officer.

5. The trainee demonstrates the ability to operate a radio or communicate with available dispatch/ law enforcement, if such services are in the area.

6. The trainee must safely operate a state vehicle.

7. Evaluation and performance standards may be met with minimum of an “Acceptable” rating in each category as detailed in the FOR Form, (Attachment B).

III. Final Authorization Procedures:

A. Upon successful completion of the above criteria, the supervisor/designee shall complete a field clearance memorandum certifying the trainee meets field clearance requirements with supporting FOR Forms (Attachment B) attached, and shall forward the packet to the Chief Probation Officer (CPO) or PO IV for final clearance approval.

B. For firearm qualified trainees:

1. The supervisor/designee will complete and send the Field Clearance Approval Request Memo (Attachment C) as well as a final packet to the CPO or PO IV that includes:
   a. All FOR Forms indicating the trainee met the prerequisites and passed the field clearance standards;
   b. Confirmation the trainee has met necessary requirements of DOC P&P 401.01.

2. The CPO or PO IV will forward the entire packet with their endorsement to the Director of Pretrial, Probation and Parole for their approval.
IV. Additional Notes:

A. The performance during training, as outlined above, will be documented in writing in a specific and thorough manner.

B. Copies of all training documentation, requests for firearms carry and approvals must be maintained in the employee's Supervisory File at each field office.

C. The trainee is NOT cleared while signature/approval is pending from the CPO or PO IV, Director of Pretrial, Probation and Parole or their designee.

D. For any clearance denials, the supervisor/designee must address any concerns and learning gaps. It further must address whether or not the trainee is able to seek field clearance again at a later date.

E. Until further direction, the overall training program held in each office will be developed by the supervisor on a case-by-case basis per the skill exhibited by the trainee and the resources available in each region and/or office.